

STATEMENT OF ECONOMIC INTERESTS

Date Initial Filing Received
Official Use Only

COVER PAGE

SCO-PERSONNEL
HUMAN RESOURCES

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
BAKER ANNE E
2018 MAR 27 8:50

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Office of the State Controller

Division, Board, Department, District, if applicable

Executive Office

Your Position

Deputy Controller

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: See attached Position: State Controller's Representative

2. Jurisdiction of Office (Check at least one box)

- [X] State [] Judge or Court Commissioner (Statewide Jurisdiction)
[] Multi-County [] County of
[] City of [] Other

3. Type of Statement (Check at least one box)

- [X] Annual: The period covered is January 1, 2017, through December 31, 2017.
-or- The period covered is through December 31, 2017.
[] Leaving Office: Date Left (Check one)
[] The period covered is January 1, 2017, through the date of leaving office.
-or- [] The period covered is through the date of leaving office.
[] Assuming Office: Date assumed
[] Candidate: Date of Election and office sought, if different than Part 1:

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 4

Schedules attached

- [X] Schedule A-1 - Investments - schedule attached [] Schedule C - Income, Loans, & Business Positions - schedule attached
[] Schedule A-2 - Investments - schedule attached [] Schedule D - Income - Gifts - schedule attached
[] Schedule B - Real Property - schedule attached [X] Schedule E - Income - Gifts - Travel Payments - schedule attached
-or- [] None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
300 Capitol Mall Sacramento CA 95814
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
(916) 327-1404 ABAKER@SCO.CA.GOV

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/22/18 Signature
(month, day, year) (File the originally signed statement with your filing official.)

State Controller Board Designee representative on the following Boards and Commissions:

California Alternative Energy and Advanced Transportation Financing Authority

California Debt Limit Allocation Committee

California Educational Facilities Authority

California Industrial Development Financing Advisory Commission

California Pollution Control Financing Authority

California Tax Credit Allocation Committee

California Transportation Financing Authority

California State Lands Commission

California Coastal Commission

California Ocean Protection Council

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM

700

FAIR POLITICAL PRACTICES COMMISSION

Name

Anne E. Baker

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/2017)	
				ACQUIRED	DISPOSED
Alibaba Group Holding Limited	e-Commerce	\$2,000 - \$10,000	Stock		
Alphabet Inc. Class C	Internet Services and Products	\$2,000 - \$10,000	Stock		
Amazon.Com Inc.	e-Commerce	\$2,000 - \$10,000	Stock		
Facebook Inc. Class A	Social Networking	\$2,000 - \$10,000	Stock		
Novo Nordisk ADR Denmark	Pharmaceuticals	\$2,000 - \$10,000	Stock		
Oracle Corporation	Database Products	\$2,000 - \$10,000	Stock		
VISA Inc. Class A	Financial Products	\$2,000 - \$10,000	Stock		
Wells Fargo & Company	Banking	\$2,000 - \$10,000	Stock		
American International Group Inc NTS	Insurance	\$2,000 - \$10,000	Corporate bond		
HSBC Holdings Plc B/E	Financial Products	\$2,000 - \$10,000	Corporate bond		
Bank of America Corp Med Term NTS	Banking	\$2,000 - \$10,000	Corporate bond		
Oracle Corporation NTS B/E	Database Products	\$2,000 - \$10,000	Corporate bond		
International Paper Co B/E	Paper Products	\$2,000 - \$10,000	Corporate bond		
Express Scripts Holdings B/E	Pharmacy Benefits	\$2,000 - \$10,000	Corporate bond		
Federal Home Loan Mortgage Corporation PL J18360	Mortgage Lending	\$2,000 - \$10,000	Asset backed security		
Federal National Mortgage Association PL AJ3591	Mortgage Lending	\$2,000 - \$10,000	Asset backed security		
Federal National Mortgage Association PL AZ2936	Mortgage Lending	\$2,000 - \$10,000	Asset backed security		
Federal National Mortgage Association PL MA2609	Mortgage Lending	\$2,000 - \$10,000	Asset backed security		
US Treasury Bond	Government Security	\$2,000 - \$10,000	Government security		
Federal National Mortgage Association	Government Security	\$2,000 - \$10,000	Government security		
US Treasury Note	Government Security	\$2,000 - \$10,000	Government security		

