CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Please type or print in ink.

NAME OF FILER

(LAST)

(BAKER)

(FIRST)

(ANNE)

(MIDDLE)

(2018 MAR 27)

(50)

1. Office, Agency, or Court

Agency Name  (Do not use acronyms)
Office of the State Controller
Division, Board, Department, District, if applicable
Executive Office
Your Position
Deputy Controller

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: See attached
Position: State Controller’s Representative

2. Jurisdiction of Office (Check at least one box)

☒ State
☐ Multi-County
☐ City of
☐ County of
☐ Other

☐ Judge or Court Commissioner (Statewide Jurisdiction)

3. Type of Statement (Check at least one box)


☐ Leaving Office: Date Left / / / (Check one)
☐ The period covered is January 1, 2017, through the date of leaving office.
☐ The period covered is / / / , through the date of leaving office.

☐ Assuming Office: Date assumed / / / 

☐ Candidate: Date of Election and office sought, if different than Part 1:

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 4

Schedules attached

☒ Schedule A-1 - Investments - schedule attached
☐ Schedule A-2 - Investments - schedule attached
☐ Schedule B - Real Property - schedule attached
☐ Schedule C - Income, Loans, & Business Positions - schedule attached
☐ Schedule D - Income - Gifts - schedule attached
☒ Schedule E - Income - Gifts - Travel Payments - schedule attached

☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS
(Business or Agency Address Recommended - Public Document)
300 Capitol Mall
Sacramento, CA 95814

DAYTIME TELEPHONE NUMBER
( 916 ) 327-1404

E-MAIL ADDRESS
ABAKER@SCO.CA.GOV

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/2/15
(month, day, year)

Signature

(Retain the originally signed statement with your filing official.)

FPCC Form 700 (2017/2018)
FPCC Advice Email: advice@fpcc.ca.gov
FPCC Toll-Free Helpline: 866/275-3772 www.fpcc.ca.gov
State Controller Board Designee representative on the following Boards and Commissions:

California Alternative Energy and Advanced Transportation Financing Authority
California Debt Limit Allocation Committee
California Educational Facilities Authority
California Industrial Development Financing Advisory Commission
California Pollution Control Financing Authority
California Tax Credit Allocation Committee
California Transportation Financing Authority
California State Lands Commission
California Coastal Commission
California Ocean Protection Council
## SCHEDULE A-1

**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

**<BLUE> is a required field**

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY</th>
<th>FAIR MARKET VALUE (Select from drop down list)</th>
<th>NATURE OF INVESTMENT (Select from drop down list. If &quot;other,&quot; describe)</th>
<th>IF APPLICABLE, LIST DATE (mm/dd/2017)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alibaba Group Holding Limited</td>
<td>e-Commerce</td>
<td>$2,000 - $10,000</td>
<td>Stock</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>Alphabet Inc. Class C</td>
<td>Internet Services and Products</td>
<td>$2,000 - $10,000</td>
<td>Stock</td>
<td>DISPOSED</td>
</tr>
<tr>
<td>Amazon.Com Inc.</td>
<td>e-Commerce</td>
<td>$2,000 - $10,000</td>
<td>Stock</td>
<td>DISPOSED</td>
</tr>
<tr>
<td>Facebook Inc. Class A</td>
<td>Social Networking</td>
<td>$2,000 - $10,000</td>
<td>Stock</td>
<td>DISPOSED</td>
</tr>
<tr>
<td>Novo Nordisk ADR Denmark</td>
<td>Pharmaceuticals</td>
<td>$2,000 - $10,000</td>
<td>Stock</td>
<td>DISPOSED</td>
</tr>
<tr>
<td>Oracle Corporation</td>
<td>Database Products</td>
<td>$2,000 - $10,000</td>
<td>Stock</td>
<td>DISPOSED</td>
</tr>
<tr>
<td>VISA Inc. Class A</td>
<td>Financial Products</td>
<td>$2,000 - $10,000</td>
<td>Stock</td>
<td>DISPOSED</td>
</tr>
<tr>
<td>Wells Fargo &amp; Company</td>
<td>Banking</td>
<td>$2,000 - $10,000</td>
<td>Stock</td>
<td>DISPOSED</td>
</tr>
<tr>
<td>American International Group Inc NTS</td>
<td>Insurance</td>
<td>$2,000 - $10,000</td>
<td>Corporate bond</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>HSBC Holdings Plc B/E</td>
<td>Financial Products</td>
<td>$2,000 - $10,000</td>
<td>Corporate bond</td>
<td>DISPOSED</td>
</tr>
<tr>
<td>Bank of America Corp Med Term NTS</td>
<td>Banking</td>
<td>$2,000 - $10,000</td>
<td>Corporate bond</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>Oracle Corporation NTS B/E</td>
<td>Database Products</td>
<td>$2,000 - $10,000</td>
<td>Corporate bond</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>International Paper Co B/E</td>
<td>Paper Products</td>
<td>$2,000 - $10,000</td>
<td>Corporate bond</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>Express Scripts Holdings B/E</td>
<td>Pharmacy Benefits</td>
<td>$2,000 - $10,000</td>
<td>Corporate bond</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>Federal Home Loan Mortgage Corporation PL J138360</td>
<td>Mortgage Lending</td>
<td>$2,000 - $10,000</td>
<td>Asset backed security</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>Federal National Mortgage Association PL AJ3591</td>
<td>Mortgage Lending</td>
<td>$2,000 - $10,000</td>
<td>Asset backed security</td>
<td>ACQUIRED</td>
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<tr>
<td>Federal National Mortgage Association PL AZ2936</td>
<td>Mortgage Lending</td>
<td>$2,000 - $10,000</td>
<td>Asset backed security</td>
<td>ACQUIRED</td>
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<tr>
<td>Federal National Mortgage Association PL MA2609</td>
<td>Mortgage Lending</td>
<td>$2,000 - $10,000</td>
<td>Asset backed security</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>US Treasury Bond</td>
<td>Government Security</td>
<td>$2,000 - $10,000</td>
<td>Government security</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>Federal National Mortgage Association</td>
<td>Government Security</td>
<td>$2,000 - $10,000</td>
<td>Government security</td>
<td>ACQUIRED</td>
</tr>
<tr>
<td>US Treasury Note</td>
<td>Government Security</td>
<td>$2,000 - $10,000</td>
<td>Government security</td>
<td>ACQUIRED</td>
</tr>
</tbody>
</table>
SCHEDULE E
Income - Gifts
Travel Payments, Advances, and Reimbursements

<BLUE> is a required field

- Mark either the gift or income box.
- Mark the "501(c)(3)" box for a travel payment received from a nonprofit 501(c)(3) organization or the "Speech" box if you made a speech or participated in a panel. These payments are not subject to the gift limit, but may result in a disqualifying conflict of interest.
- For gifts of travel, provide the travel destination.

<table>
<thead>
<tr>
<th>NAME AND ADDRESS OF SOURCE (Business Address Acceptable)</th>
<th>BUSINESS ACTIVITY, IF ANY, OF SOURCE</th>
<th>501 (c)(3)</th>
<th>DATE(S) (mm/dd/yy)</th>
<th>AMOUNT</th>
<th>TYPE OF PAYMENT (Gift or Income)</th>
<th>DESTINATION (If gift)</th>
<th>MADE A SPEECH/ PARTICIPATED IN A PANEL</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monterey Bay Aquarium Foundation 886 Cannery Row Monterey, CA 93940</td>
<td>Charitable Foundation</td>
<td>X</td>
<td>3/4/17</td>
<td>157.33</td>
<td>Gift</td>
<td></td>
<td></td>
<td>Reception</td>
</tr>
</tbody>
</table>